



Customer No. 24113
Patterson, Thunte, Skaar & Christensen, P.A.
4800 IDS Center
80 South 8th Street
Minneapolis, Minnesota 55402-2100
Telephone: (612) 349-5740
Facsimile: (612) 349-9266

Attorney Docket No. 3114.02US02

CONTINUATION-IN-PART TRANSMITTAL

22141 U.S. PTO
10/773098



Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is a Continuation-in-Part of Application No. 10/640,583, filed August 13, 2003.

INVENTOR(S): Robert J. Monson, Richard L. Cellini, Jianhua (Jack) Yan

FOR: METHOD AND APPARATUS FOR THE INTEGRATION OF A VCSEL FLEX WITH A
PARALLEL OPTICAL TRANSCEIVER PACKAGE

Enclosed are:

- ☒ Specification and Abstract - 19 pages.
- ☒ Drawings - 10 sheets (Figs. 1-15).
- ☐ Combined Declaration and Power of Attorney.
- ☐ Information Disclosure Statement.
- ☐ Assignment papers.
- ☐ CD-ROM or CD-R in duplicate, and Compact Disc Transmittal.
- ☒ Request and Certification Under 35 U.S.C. 122(b)(2)(B)(i) (nonpublication).
- ☐ _____.

The filing fee has been calculated as shown below:					
	No. Filed	No. Extra	Small Entity Rate	OR	Large Entity Rate
Basic Fee			\$ 385	OR	\$ 770
Total Claims	* - 20	= *	x 9 = \$*	OR	x 18 = \$*
Independent Claims	* - 3	= *	x 43 = \$*	OR	x 86 = \$*
Presence of Multiple Dependent Claim			+ 145	OR	+ 290
		TOTAL	\$	TOTAL	\$
*If the difference is less than zero, enter "0"					

Also please note the following:

- ☐ Applicant(s) is/are entitled to small entity status in accordance with 37 CFR 1.27.

CIP of Application No. 10/640,583

- [] Amend the specification by inserting, before line 1, the sentence: --This is a Continuation-in-Part of Application No. 10/640,583 filed August 13, 2003.--
- [] Priority of foreign application(s) No. _____ filed _____ is claimed under 35 U.S.C. § 119. The certified copy was filed in prior Application No. _____ on _____
- [] A check in the amount of \$_____ to cover the filing fee *[and Assignment recordation fee]* is enclosed. The Commissioner is hereby authorized to grant any extensions of time and to charge any fees under 37 C.F.R. §§ 1.16 and 1.17 that may be required during the entire pendency of this application to Deposit Account No. 16-0631.

Respectfully submitted,

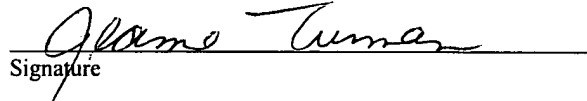


Thomas G. Dickson
Registration No. 51,616

CERTIFICATE OF EXPRESS MAIL

"Express Mail" mailing label number EV321545457US. Date of Deposit: February 5, 2004. I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated above and is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Jeanne Truman
Name of Person Making Deposit



Signature

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of:

Attorney Docket No.: 3114.02US02

Robert J. Monson et al.

Application No.:

Filed: February 5, 2004

For: METHOD AND APPARATUS FOR THE INTEGRATION OF A VCSEL FLEX WITH
A PARALLEL OPTICAL TRANSCEIVER PACKAGE

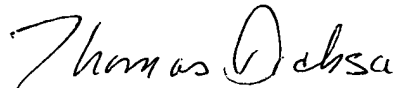
REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application, being filed herewith, not be published under 35 U.S.C. 122(b).

Respectfully submitted,



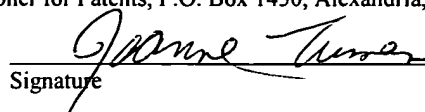
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Customer No. 24113
Patterson, Thunte, Skaar & Christensen, P.A.
4800 IDS Center
80 South 8th Street
Minneapolis, Minnesota 55402-2100
Telephone: (612) 349-3004

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